

Page 1 of 34

CLCZ696B2036

#### Sponsor Novartis Pharmaceuti

Novartis Pharmaceuticals

# **Generic Drug Name**

Sacubitril/Valsartan

# Trial Indication(s)

Heart Failure

# **Protocol Number**

CLCZ696B2036

# **Protocol Title**

A cohort study to compare the hospitalization rates between naïve Sacubitril/valsartan and naïve ACEi/ARBs heart failure patients with reduced ejection fraction using secondary US electronic health records data

**Clinical Trial Phase** NA

Phase of Drug Development NA

**Study Start/End Dates** Study start date: 03 June 2020



Page 2 of 34

CLCZ696B2036

Study Completion date: 19 October 2020

### **Reason for Termination**

NA

# Study Design/Methodology

This was a non-interventional retrospective cohort study using the US secondary EHR dataset, Optum EHR, to compare the naive sacubitril/valsartan HFrEF patient population (aged  $\geq$  18 years) to a matched naive ACEi/ARB HFrEF patient population.

The study comprised of the following periods:

Identification period: 01-Jul-2015 to 31-Mar-2019

Study period

- 01-Jul-2014 to 31-Mar-2020 for primary objective and secondary objective 2 to 7.
- 01-Jul-2008 to 30-Sep-2020 for secondary objective 1.

Follow-up period: Patients were followed up until 31-Mar-2020, death or patient transfer out.

Baseline period: 365 days prior to index

# Centers

Novartis Investigative Site



Page 3 of 34

# **Objectives:**

# Primary objective(s)

1. To compare the rate of HF hospitalizations in a Naive HFrEF patient population commencing treatment with sacubitril/valsartan and a Naive HFrEF patient population commencing treatment with ACEi/ARB, both patient populations are naive to both sacubitril/valsartan and ACEi/ARB for 365 days prior to index.

# Secondary objective(s)

- 1. To compare the rate of HF hospitalizations in a Truly naive HFrEF patient population commencing treatment with sacubitril/valsartan and a Truly naive HFrEF patient population commencing treatment with ACEi/ARB, both patient populations are entirely naive to both sacubitril/valsartan and ACEi/ARB.
- 2. To compare the time to first HF hospitalization for naive sacubitril/valsartan HFrEF patients vs naive ACEi/ARB HFrEF patients.
- 3. To compare the rate of HF hospitalizations or HF ER encounters for Naive sacubitril/valsartan HFrEF patients vs Naive ACEi/ARB HFrEF patients.
- 4. To compare the time to first HF hospitalizations or HF ER encounters for Naive sacubitril/valsartan HFrEF patients vs Naive ACEi/ARB HFrEF patients.
- 5. To compare the rates of all-cause hospitalization for Naive sacubitril/valsartan HFrEF patients vs Naive ACEi/ARB HFrEF patients.
- 6. To compare the time to first all-cause hospitalization for Naive sacubitril/valsartan HFrEF patients vs Naive ACEi/ARB HFrEF patients.
- 7. To compare the rates of cardiovascular (CV) hospitalization for Naive sacubitril/valsartan HFrEF patients vs Naive ACEi/ARB HFrEF patients.



Page 4 of 34

# Test Product (s), Dose(s), and Mode(s) of Administration

NA

# **Statistical Methods**

All analyses were performed on EVICO. The statistical software used for this study was the latest versions of Statistical Analysis Software (SAS) and/or R.

Descriptive statistics of the cohort demographics were reported as follows:

- Continuous variables were summarized using n, mean, median, standard deviation (SD), minimum, maximum, 95% CI, 25th and 75th percentiles.
- Categorical variables were summarized using frequency counts and percentages.
- Missing data were reported using frequency counts and percentages

Variables were assessed for similarity between the sacubitril/valsartan cohort and ACEi/ARBs cohort. only variables with a standardized mean difference (SMD)  $\geq$  0.1 (or 10%), age and sex were included in the adjusted negative binomial and sub distribution models, following propensity score matching.

For the primary objective and secondary objectives 1, 3, 5 and 7, negative binomial models were used for the analysis, the rate ratio (RR), total person years, annualized hospitalization rates, 95% CI and p-values were reported. A sensitivity analysis was conducted for the primary objective, where the wash-out period is extended to all available data pre-index (index date included) and reporting the associated RR, 95% CI and p-values. A further sensitivity analysis were conducted on the primary objective, where follow-up is limited to one year post-index, the associated RR, 95% CI and p-values will be reported.

For secondary objectives 2, 4, and 6 a sub distribution model was used, the hazard ratio (HR), total person years, annualized hospitalization rates, 95% CI and p-values were reported. The sub distribution hazards model was chosen, as it allows for an estimation of incidence of the occurrence of an event whilst taking competing risk into account



Page 5 of 34

# Study Population: Key Inclusion/Exclusion Criteria

### **Inclusion criteria**

Sacubitril/valsartan incident cohort

### Included patients

- Prescribed sacubitril/valsartan within the identification period (01-July-2015 to 31-Mar-2019), that had non-missing gender and year of birth data.
- With at least one ICD-9-CM or ICD-10-CM code for diagnosis of HF within one-year prior to date of first prescription of sacubitril/valsartan (index date), and are treated as part of the integrated delivery network.
- With first month active 365 days prior to index and last date active was greater than or equal to  $(\geq)$  index, and the month of provider source data was greater than the final date of patient follow-up.
- That were  $\geq 18$  years old at index date.
- With a valid LVEF value  $\leq 40\%$ , prior to index (index date included).

Angiotensin-converting enzyme inhibitors (ACEi) and Angiotensin II receptor antagonist (ARB) incident cohort

Included patient:

- Prescribed ACEi or ARBs within the identification period (01-Jul-2015 to 31-Mar-2019), that had non-missing gender and year of birth data.
- With at least one ICD-9-CM or ICD-10-CM code for diagnosis of HF within one-year prior to date of first prescription of ACEi or ARB (index date), and were treated as part of the integrated delivery network.



- With first month active 365 days prior to index and last date active was  $\geq$  index, and the month of provider source data is greater than the final date of patient follow-up.
- That were  $\geq 18$  years old at index date.
- With a valid LVEF value  $\leq 40\%$ , prior to index (index date included).

# **Exclusion Criteria:**

-

#### Sacubitril/valsartan incident cohort

Excluded patients for the primary objective and secondary objective 2 to 7:

- Patients who were prescribed sacubitril/valsartan within one-year prior to index date.
- Patients who were prescribed ACEi or ARBs, within one-year prior to index date (index date included).

Exclude patients for secondary objective 1:

- Patients who were prescribed sacubitril/valsartan prior to index date.
- Patients who were prescribed ACEi or ARBs prior to index date (index date included).

Angiotensin-converting enzyme inhibitors (ACEi) and Angiotensin II receptor antagonist (ARB) incident cohort

Exclude patients for the primary objective and secondary objective 2 to 7:

- Patients who were prescribed ACEi or ARBs within one-year prior to index date.
- Patients who were prescribed sacubitril/valsartan within one-year prior to index date (index date included).
- Which had a valid sacubitril/valsartan prescription that enables inclusion in the sacubitril/valsartan cohort.
- Exclude patients for secondary objective 1:
- Patients who were prescribed ACEi or ARBs prior to index date.
- Patients who were prescribed sacubitril/valsartan prior to index date (index date included).

CLCZ696B2036



Page 7 of 34

CLCZ696B2036

- Which had a valid sacubitril/valsartan prescription that enables inclusion in the sacubitril/valsartan cohort



Page 8 of 34

# **Participant Flow**

Attrition of patients for Naive cohort and Truly naive cohort are shown below:

#### Attrition Table: Naive cohort

		Patients Remaini	ng	Patients Excluded	4
Criteria Number	Criteria	n	%*	n	%*
	Sacubitril/valsartan incide	nt cohort			
1	Prescribed sacubitril/valsartan within the identification period (01-July-2015 to 31-March-2019), that have non-missing gender and year of birth data.	42269			
2	With at least one ICD-9-CM or ICD-10-CM code for diagnosis of heart failure within one-year prior to date of first prescription of sacubitril/valsartan (index date), and are treated as part of the integrated delivery network.	25048	(59.26%)	17221	(40.74%)
3	With first month active 365 days prior to index and last date active is $\geq$ index.	22273	(52.69%)	19996	(47.31%)
4	That are ≥ 18 years old at index date.	22267	(52.68%)	20002	(47.32%)
5	With a valid LVEF value ≤ 40%, prior to index (index date included).	13749	(32.53%)	28520	(67.47%)
6	That were not prescribed sacubitril/valsartan within one-year prior to index date.	13749	(32.53%)	28520	(67.47%)
7	That were not prescribed ACEi or ARBs, within one-year prior to index date (index date included).	3367	(7.97%)	38902	(92.03%)
	ACEi and ARB incident	cohort			
1	Prescribed ACEi or ARBs within the identification period (01-July-2015 to 31-March-2019), that have non-missing gender and year of birth data.	9344258			
2	With at least one ICD-9-CM or ICD-10-CM code for diagnosis of heart failure within one-year prior to date of first prescription of ACEi or ARB (index date), and are treated as part of the integrated delivery network.	361022	(3.86%)	8983236	(96.14%)
3	With first month active 365 days prior to index and last date active is ≥index.	319119	(3.42%)	9025139	(96.58%)
4	That were ≥ 18 years old at index date.	318609	(3.41%)	9025649	(96.59%)
5	With a valid LVEF value ≤ 40%, prior to index (index date included).	76155	(0.81%)	9268103	(99.19%)
6	That were not prescribed ACEi or ARBs within one-year prior to index date.	52057	(0.56%)	9292201	(99.44%)



# Page 9 of 34 CLCZ696B2036

		Patients Remaini		Patients Excluded	ł
Criteria Number	Criteria	n	%*	n	%*
7	That were not prescribed sacubitril/valsartan within one-year prior to index date (index date included).	51153	(0.55%)	9293105	(99.45%)
8	That do not had a valid sacubitril/valsartan prescription that enables inclusion in the sacubitril/valsartan cohort.	50872	<b>(</b> 0.54%)	9293386	(99.46%)

\*Percentages were based on the number of patients who met the first criterion in the database.

Attrition Table: Truly naive cohort

		ients aining		ients luded	
Criteria Number	Criteria	n	% *	n	%*

Sacubitril/valsartan incident cohort



			ients aining		ents uded
Criteria Number	Criteria	n	% *	n	%*
1	Prescribed sacubitril/valsartan within the identification period (01-July-2015 to 31-March-2019), that have non-missing gender and year of birth data.	42269			
2	With at least one ICD-9-CM or ICD-10-CM code for diagnosis of heart failure within one-year prior to date of first prescription of sacubitril/valsartan (index date), and are treated as part of the integrated delivery network.	25048	(59.26%)	17221	(40.74%)
3	With first month active 365 days prior to index and last date active is $\geq$ index.	22273	(52.69%)	19996	(47.31%)
4	That were ≥18 years old at index date.	22267	(52.68%)	20002	(47.32%)
5	With a valid LVEF value ≤40%, prior to index (index date included).	13749	(32.53%)	28520	(67.47%)
6	That were not prescribed sacubitril/valsartan prior to index date.	13749	(32.53%)	28520	(67.47%)
7	That were not prescribed ACEi or ARBs, prior to index date (index date included).	1230	(2.91%)	41039	(97.09%)
	ACEi and ARB incident	cohort			
1	Prescribed ACEi or ARBs within the identification period (01-July-2015 to 31-March-2019), that have non-missing gender and year of birth data.	9344258			
2	With at least one ICD-9-CM or ICD-10-CM code for diagnosis of heart failure within one-year prior to date of first prescription of ACEi or ARB (index date), and are treated as part of the integrated delivery network.	361022	(3.86%)	8983236	(96.14%)
3	With first month active 365 days prior to index and last date active is $\geq$ index.	319119	(3.42%)	9025139	(96.58%)
4	That are ≥18 years old at index date.	318609	(3.41%)	9025649	(96.59%)
5	With a valid LVEF value ≤ 40%, prior to index (index date included).	76155	(0.81%)	9268103	(99.19%)
6	That were not prescribed ACEi or ARBs prior to index date.	26710	(0.29%)	9317548	(99.71%)
7	That were not prescribed sacubitril/valsartan prior to index date (index date included).	26197	(0.28%)	9318061	(99.72%)
В	That do not had a valid sacubitril/valsartan prescription that enables inclusion in the	26197	(0.28%)	9318061	(99.72%)



Page 11 of 34

# **Baseline Characteristics**

				P	re-matc	h				Post-m	natch			
			Sacub valsart		ACEi/A	RB			Sacubi valsart		ACEi//	ARB		
		Statistic	Ν	%	N	%	p value	SMD	Ν	%	Ν	%	p value	SMD
Number of Patients		N (%)	3367	100	50872	100			3060	100	6120	100		
Socio demographics														
Age (years) at index		N (% non- missing)	3367	100	50872	100	< 0.0001		3060	100	6120	100	0.6684	
		Patients with estimated ages	173	5.14	6153	12.10			161	5.26	567	9.26		
		Mean	65.92		66.94				66.02		65.93			
		SD.	13.08		13.74			7.64	13.08		14.08			0.66
		Min	21		18				21		18			
		Max	87	-	87				87		87			
		25% Percentile	58		58				58		57			
		Median	67	-	68				67		67			
		75% percentile	76	-	78				76		77			
Sex	Female	N (%)	967	28.72	17927	35.24	< 0.0001	14.01	907	29.64	1860	30.39	0.4594	1.64
	Male	N (%)	2400	71.28	32945	64.76		14.01	2153	70.36	4260	69.61		1.64

Propensity score reported pre-and post-matching: Descriptive statistics for Naive sacubitril/valsartan cohort and Naive ACEi/ARB cohort



				P	re-matc	h				Post-m	atch			
-			Sacub valsar		ACEi/A	RB			Sacub valsar		ACEi/	ARB		
		Statistic	N	%	N	%	p value	SMD	Ν	%	N	%	p value	SMD
Year of index	2015	N (%)	92	2.73	8505	16.72	< 0.0001	48.57	92	3.01	181	2.96	0.9694	0.29
	2016	N (%)	638	18.95	18140	35.66		38.18	636	20.78	1260	20.59		0.48
	2017	N (%)	1040	30.89	12688	24.94		13.29	994	32.48	2019	32.99		1.08
	2018	N (%)	1239	36.80	9377	18.43		41.97	1054	34.44	2115	34.56		0.24
	2019	N (%)	358	10.63	2162	4.25		24.50	284	9.28	545	8.91		1.31
Race	African American	N (%)	549	16.31	8409	16.53	0.0132	0.61	498	16.27	1009	16.49	0.8733	0.57
	Asian	N (%)	36	1.07	402	0.79		2.91	30	0.98	71	1.16		1.75
	Caucasian	N (%)	2669	79.27	39849	78.33		2.29	2423	79.18	4825	78.84		0.84
	Other/ Unknown	N (%)	113	3.36	2212	4.35		5.16	109	3.56	215	3.51		0.27
Ethnicity	Hispanic	N (%)	95	2.82	1810	3.56	0.0317	4.19	86	2.81	190	3.10	0.7353	1.74
	Not Hispanic	N (%)	3097	91.98	46696	91.79		0.70	2823	92.25	5626	91.93		1.21
	Unknown	N (%)	175	5.20	2366	4.65		2.53	151	4.93	304	4.97		0.15
Geographical region	Midwest	N (%)	1349	40.07	23556	46.30	< 0.0001	12.62	1268	41.44	2590	42.32	0.8843	1.79
	Northeast	N (%)	512	15.21	6533	12.84		6.81	474	15.49	946	15.46		0.09
	South	N (%)	1326	39.38	15447	30.36		19.01	1147	37.48	2230	36.44		2.17
	West	N (%)	99	2.94	3917	7.70		21.33	96	3.14	202	3.30		0.93
	Other/ Unknown	N (%)	81	2.41	1419	2.79		2.41	75	2.45	152	2.48		0.21
Geographical division	East North Central	N (%)	1006	29.88	16561	32.55	< 0.0001	5.78	934	30.52	1916	31.31	0.9847	1.70

Page 12 of 34



				P	re-matc	h				Post-m	atch			
			Sacub valsart		ACEi/A	RB			Sacubi valsart		ACEi/	ARB		
		Statistic	Ν	%	Ν	%	p value	SMD	N	%	Ν	%	p value \$	SMD
	East South Central	N (%)	255	7.57	4388	8.63		3.86	238	7.78	439	7.17	• •	2.30
	Middle Atlantic	N (%)	326	9.68	3528	6.94		9.97	295	9.64	584	9.54		0.33
	Mountain	N (%)	56	1.66	2384	4.69		17.31	53	1.73	107	1.75		0.12
	New England	N (%)	185	5.49	3000	5.90		1.74	178	5.82	362	5.92		0.42
	Pacific	N (%)	43	1.28	1522	2.99		11.89	43	1.41	95	1.55		1.22
	South Atl/West South Crl	N (%)	1067	31.69	11019	21.66		22.83	905	29.58	1787	29.20		0.83
	West North Central	N (%)	341	10.13	6933	13.63		10.84	332	10.85	667	10.90		0.16
	Other/ Unknown	N (%)	88	2.61	1537	3.02		2.46	82	2.68	163	2.66		0.10
Elixhauser Comorbidity Index (ECI)	Low ECI Score < 0	N (%)	9	0.27	205	0.40		2.35	9	0.29	29	0.47		2.91
	Mild ECI score 0	N (%)	7	0.21	220	0.43		3.98	5	0.16	41	0.67		7.87
	Moderate ECI Score 1-4	N (%)	87	2.58	1540	3.03		2.68	83	2.71	211	3.45		4.26
	Severe ECI Score ≥ 5	N (%)	3264	96.94	48907	96.14		4.40	2963	96.83	5839	95.41		7.37
Comorbidities, signs & symptoms	Altered consciousness	N (%)	100	2.97	3699	7.27	< 0.0001	19.61	99	3.24	177	2.89	0.3641	1.99
	Anemia (including. iron deficiency)	N (%)	204	6.06	4319	8.49	< 0.0001	9.37	199	6.50	418	6.83	0.5555	1.31
	COPD	N (%)	630	18.71	11525	22.65	< 0.0001	9.75	594	19.41	1140	18.63	0.3655	2.00
	Dementia	N (%)	66	1.96	3115	6.12	< 0.0001	21.26	65	2.12	126	2.06	0.8361	0.46



				P	re-matc	:h				Post-m	atch			
			Sacub	itril/	ACEi/A	ARB			Sacub	itril/	ACEi/	ARB		
			valsar	tan					valsart	an				
		Statistic	N	%	N	%	p value	SMD	Ν	%	N	%	p value	SMD
	Depression	N (%)	342	10.16	6362	12.51	< 0.0001	7.41	321	10.49	602	9.84	0.3263	2.16
	Diabetes mellitus	N (%)	1202	35.70	18990	37.33	0.0582	3.39	1110	36.27	2193	35.83	0.6780	0.92
	Dyslipidemia (including hypercholesterolemia)	N (%)	2103	<mark>62.4</mark> 6	31221	61.37	0.2093	2.24	1907	62.32	3812	62.29	0.9757	0.07
	Edema and fluid overload	N (%)	404	12.00	6410	12.60	0.3078	1.83	373	12.19	746	12.19	1.0000	0.00
	Hypertension	N (%)	2339	69.47	37180	73.09	< 0.0001	8.00	2158	70.52	4224	69.02	0.1402	3.27
	Renal Disease	N (%)	992	29.46	14921	29.33	0.8706	0.29	915	29.90	1763	28.81	0.2767	2.40
	Renal Failure	N (%)	581	17.26	11901	23.39	< 0.0001	15.30	552	18.04	1064	17.39	0.4383	1.71
	Shortness of breath (excl. sleep apnea)	N (%)	1362	40.45	21056	41.39	0.2841	1.91	1248	40.78	2470	40.36	0.6959	0.87
	Sleep apnea	N (%)	619	18.38	7898	15.53	< 0.0001	7.62	556	18.17	1032	16.86	0.1185	3.44
Cardiac specific	Angina Pectoris	N (%)												
comorbidities, signs, symptoms and devices			264	7.84	3415	6.71	0.0117	4.34	233	7.61	465	7.60	0.9778	0.06
	Atrial Fibrillation	N (%)	1398	41.52	19726	38.78	0.0016	5.60	1255	41.01	2460	40.20	0.4522	1.66
	Cardiac arrhythmia (excluding. atrial fibrillation)	N (%)	1140	33.86	19196	37.73	< 0.0001	8.09	1057	34.54	2103	34.36	0.8643	0.38
	Cardio resynchronization therapy device	N (%)	1226	36.41	10333	20.31	< 0.0001	36.30	1012	33.07	2008	32.81	0.8016	0.56



				P	re-matc	h				Post-m	atch_			
			Sacubi valsart		ACEi/A	RB			Sacubi valsart		ACEi/	ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
	Cerebrovascular disease	N (%)	349	10.37	7125	14.01	< 0.0001	11.15	328	10.72	652	10.65	0.9238	0.21
	Ischemic heart disease (including myocardial infarction)	N (%)	2240	66.53	34331	67.49	0.2512	2.04	2047	66.90	4034	65.92	0.3491	2.08
	Peripheral Artery Disease	N (%)	190	5.64	3561	7.00	0.0027	5.58	181	5.92	388	6.34	0.4262	1.77
	Peripheral vascular disease	N (%)	329	9.77	5550	10.91	0.0396	3.74	307	10.03	591	9.66	0.5677	1.26
	Tachycardia	N (%)	824	24.47	10129	19.91	< 0.0001	11.00	720	23.53	1430	23.37	0.8617	0.39
	Valvular heart disease	N (%)	1475	43.81	20606	40.51	0.0002	6.69	1330	43.46	2637	43.09	0.7319	0.76
Left ventricular ejection		N (% non- missing)	3367	100	50872	100	< 0.0001		3060	100	6120	100	0.4253	
		Mean	26.69	-	29.21	-			27.06		27.15			
		SD	8.15		8.21	-		30.76	8.11		8.48			1.06
		Min	5		0				5	-	5			
		Max	40		40				40	-	40			
		25% Percentile	20		22.50				20		20			
		Median	27	-	30				27.50	-	27.50			
		75% Percentile	34		35				35		35			
Medications	Antiarrhythmic and digoxin	N (%)	1792	53.22	33856	66.55	< 0.0001	27.45	1703	55.65	3375	55.15	0.6454	1.02



				P	re-matc	h				Post-m	atch			
			Sacub valsar		ACEi/A	RB			Sacub valsar		ACEi/	ARB		
		Statistic	N	%	N	%	p value	SMD	Ν	%	N	%	p value	SMD
	Beta-blockers	N (%)	995	29.55	22885	44.99	< 0.0001	32.33	966	31.57	1896	30.98	0.5663	1.27
	Calcium channel blockers	N (%)	400	11.88	12072	23.73	< 0.0001	31.35	397	12.97	782	12.78	0.7912	0.5
	Lipid lowering drugs	N (%)	1636	48.59	30346	59.65	< 0.0001	22.34	1554	50.78	3142	51.34	0.6157	1.1
	Loop Diuretics	N (%)	2070	61.48	33214	65.29	< 0.0001	7.92	1923	62.84	3829	62.57	0.7953	0.5
	Nitroglycerin	N (%)	1700	50.49	31938	62.78	< 0.0001	24.99	1616	52.81	3229	52.76	0.9646	0.1
	Potassium sparing diuretics	N (%)	1105	32.82	13388	26.32	< 0.0001	14.28	966	31.57	1966	32.12	0.5904	1.1
revious heart ilure (HF) ospitalizations	0	N (%)	2534	75.26	44243	86.97	< 0.0001	30.26	2395	78.27	4843	79.13	0.6805	2.12
	1	N (%)	543	16.13	4891	9.61		19.54	455	14.87	899	14.69		0.5
	2	N (%)	153	4.54	1096	2.15		13.31	114	3.73	209	3.42		1.6
	3	N (%)	64	1.90	322	0.63		11.35	44	1.44	70	1.14		2.6
	≥ 4	N (%)	73	2.17	320	0.63		13.13	52	1.70	99	1.62		0.6
Previous all- cause hospitalizations	0	N (%)	1754	52.09	30097	59.16	< 0.0001	14.26	1652	53.99	3329	54.40	0.9841	0.8
-	1	N (%)	739	21.95	11109	21.84		0.27	680	22.22	1335	21.81		0.9
	2	N (%)	358	10.63	4392	8.63		6.78	315	10.29	641	10.47		0.5
	3	N (%)	204	6.06	2170	4.27		8.11	163	5.33	327	5.34		0.0
	≥ 4	N (%)	312	9.27	3104	6.10		11.90	250	8.17	488	7.97		0.7

Page 16 of 34



Page 17 of 34

				P	re-matc	h				Post-m	atch			
			Sacubi valsart		ACEi/A	RB			Sacub valsari		ACEi/	ARB		
		Statistic	N	%	N	%	p value	SMD	Ν	%	N	<mark>%</mark>	p value	SMD
Previous HF														
specific outpatient visits	0	N (%)	587	17.43	20368	40.04	< 0.0001	51.58	587	19.18	1144	18.69	0.8213	1.25
	1	N (%)	635	18.86	10385	20.41		3.91	609	19.90	1262	20.62		1.79
	2	N (%)	458	13.60	6137	12.06		4.60	428	13.99	872	14.25		0.75
	3	N (%)	372	11.05	3777	7.42		12.54	326	10.65	674	11.01		1.10
	≥ 4	N (%)	1315	39.06	10205	20.06		42.56	1110	36.27	2168	35.42		1.77
Previous HF specific ER visits	0	N (%)	3182	94.51	49313	96.94	< 0.0001	12.03	2904	94.90	5845	95.51	0.7086	2.83
	1	N (%)	154	4.57	1356	2.67		10.23	128	4.18	230	3.76		2.18
	2	N (%)	19	0.56	153	0.30		4.02	19	0.62	32	0.52		1.30
	3	N (%)	4	0.12	32	0.06		1.86	4	0.13	7	0.11		0.47
	≥ 4	N (%)	8	0.24	18	0.04		5.48	5	0.16	6	0.10		1.81



Page 18 of 34

					Pre-r	natch_		_	_	F	ost-ma	tch		
				tril/vals tan	ACEi	ARB			Sacubit art		ACEi	/ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
Number of Patients		N (%)	1230	100	26197	100			1225	100	2450	100		
Sociodemograpl cs	ni													
Age (years) at index		N (% non- missing)	1230	100	26197	100	0.222 4		1225	100	2450	100	0.8461	
		Patients with estimated ages	82	6.67	2941	11.23			81	<mark>6.61</mark>	218	8.90		
		Mean	65.38		65.87				65.36	-	65.45			
		SD.	14.19	-	14.32			3.39	14.19	-	14.34			0.62
		Min	21		18				21	-	20			
		Max	87		87				87	-	87			
		25% Percentile	57	-	57				57	-	57			
		Median	67		67				67	-	66	-		
		75% Percentile	77		78				77	-	77			
									1					
Sex	Female	N (%)	389	31.63	9617	36.71	0.000 3	10.74	388	31.67	803	32.78	0.5010	2.36
	Male	N (%)	841	68.37	16580	63.29		10.74	837	68.33	1647	67.22		2.36
Year of index	2015	N (%)	28	2.28	3417	13.04	< 0.000 1	41.34	28	2.29	56	2.29	0.7144	0.00

# Propensity scores reported pre-and post-matching: Descriptive statistics for Truly naive sacubitril/valsartan cohort and Truly naive ACEi/ARB cohort



			. –		Pre-I	match_				P	°ost-ma	atch		
				itril/vals tan	ACEi	/ARB			Sacubi art	tril/vals an	ACE	i/ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
	2016	N (%)	230	18.70	7501	28.63		23.53	230	18.78	496	20.24		3.71
	2017	N (%)	355	28.86	7378	28.16		1.55	355	28.98	704	28.73		0.54
	2018	N (%)	449	36.50	6329	24.16		27.10	447	36.49	846	34.53		4.09
	2019	N (%)	168	13.66	1572	6.00		25.94	165	13.47	348	14.20		2.13
lace	African American	N (%)	194	15.77	3900	14.89	0.541 5	2.46	192	15.67	407	16.61 (	).8481	2.55
	Asian	N (%)	14	1.14	219	0.84		3.06	14	1.14	27	1.10		0.39
	Caucasian	N (%)	970	78.86	20911	79.82		2.37	967	78.94	1904	77.71		2.97
	Other/Unkno wn	N (%)	52	4.23	1167	4.45		1.11	52	4.24	112	4.57		1.59
thnicity	Hispanic	N (%)	34	2.76	915	3.49	0.139 9	4.19	34	2.78	62	2.53 (	).8753	1.52
	Not Hispanic	N (%)	1121	91.14	23938	91.38		0.84	1117	91.18	2234	91.18		0.00
	Unknown	N (%)	75	6.10	1344	5.13		4.20	74	6.04	154	6.29		1.02
									1					
eographical egion	Midwest	N (%)	493	40.08	11983	45.74	< 0.000 1	11.46	492	40.16	1015	41.43 (	).7186	2.57
	Northeast	N (%)	206	16.75	3745	14.30		6.78	204	16.65	435	17.76		2.92
	South	N (%)	471	38.29	7755	29.60		18.43	469	38.29	887	36.20		4.31
	West	N (%)	33	2.68	1908	7.28		21.26	33	2.69	65	2.65		0.25
	Other/Unkno wn	N (%)	27	2.20	806	3.08		5.50	27	2.20	48	1.96		1.72

Page 19 of 34



			. –		Pre-	match_		_		F	ost-ma	tch		
				itril/vals rtan	ACEi	/ARB			Sacubit art		ACE	/ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	N	%	p value	SMD
Geographical division	East North Central	N (%)	367	29.84	8460	32.29	<0.00 01	5.31	367	29.96	741	30.24	0.8436	0.62
	East South Central	N (%)	82	6.67	1997	7.62		3.71	82	6.69	150	6.12		2.33
	Middle Atlantic	N (%)	138	11.22	1921	7.33		13.43	136	11.10	309	12.61		4.67
	Mountain	N (%)	21	1.71	1125	4.29		15.21	21	1.71	39	1.59		0.96
	New England	N (%)	68	5.53	1820	6.95		5.87	68	5.55	126	5.14		1.81
	Pacific	N (%)	12	0.98	775	2.96		14.31	12	0.98	25	1.02		0.41
	South Atl/West South Crl	N (%)	387	31.46	5731	21.88		21.81	385	31.43	734	29.96		3.19
	West North Central	N (%)	125	10.16	3501	13.36		9.95	124	10.12	274	11.18		3.44
	Other/Unkno wn	N (%)	30	2.44	867	3.31		5.21	30	2.45	52	2.12		2.19
Elixhauser Comorbidity Index (ECI)	Low ECI Score <0	N (%)	7	0.57	136	0.52		0.68	7	0.57	12	0.49		1.12
()	Mild ECI score 0	N (%)	2	0.16	138	0.53		6.22	2	0.16	11	0.45		5.17
	Moderate ECI Score 1-4	N (%)	30	2.44	859	3.28		5.04	30	2.45	83	3.39		5.58
	Severe ECI Score ≥ 5	N (%)	1191	96.83	25064	95.68		6.08	1186	96.82	2344	95.67		6.01

Page 20 of 34



Page 21 of 34

			_		Pre-	match_				F	ost-ma	tch		
				itril/vals tan	ACEi	/ARB				itril/vals tan	ACE	i/ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
Comorbidities, signs & symptoms	Altered consciousnes s	N (%)	39	3.17	2094	7.99	<0.00 01	21.12	39	3.18	81	3.31	0.8439	0.69
	Anemia (including. iron deficiency)	N (%)	79	6.42	2258	8.62	0.007 0	8.34	79	6.45	156	6.37	0.9240	0.33
	Chronic Obstructive Pulmonary Disease	N (%)	214	17.40	5817	22.20	< 0.000 1	12.08	214	17.47	393	16.04	0.2716	3.83
	Dementia	N (%)	35	2.85	1569	5.99	< 0.000 1	15.34	35	2.86	90	3.67	0.1981	4.59
	Depression	N (%)	125	10.16	3381	12.91	0.004 9	8.60	125	10.20	241	9.84	0.7259	1.22
	Diabetes mellitus	N (%)	405	32.93	8336	31.82	0.415 7	2.36	404	32.98	802	32.73	0.8815	0.52
	Dyslipidemia (including. hypercholeste rolemia)	N (%)	725	58.94	14413	55.02	0.006 8	7.93	721	58.86	1447	59.06	0.9056	<mark>0.4</mark> 1
	Edema and fluid overload	N (%)	156	12.68	3333	12.72	0.967 3	0.12	155	12.65	301	12.29	0.7502	1.11
	Hypertension	N (%)	802	65.20	17680	67.49	0.094 7	4.84	802	65.47	1612	65.80	0.8442	0.69
	Renal Disease	N (%)	365	29.67	6804	25.97	0.003 9	8.27	362	29.55	768	31.35	0.2661	3.90



Page 22 of 34

			. –		Pre-r	natch_		_		P	ost-ma	tch		
		· ·		itril/vals tan	ACEi	ARB				tril/vals an	ACE	/ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
	Renal Failure	N (%)	249	20.24	6630	25.31	< 0.000 1	12.10	249	20.33	547	22.33	0.1653	4.88
	Shortness of breath (excluding. sleep apnea)	N (%)	534	43.41	11666	44.53	0.441 0	2.25	531	43.35	1084	44.24	0.6051	1.81
	Sleep apnea	N (%)	189	15.37	3455	13.19	0.027 9	6.23	188	15.35	333	13.59	0.1505	4.99
rdiac specific morbidities, ns, symptoms d devices	Angina Pectoris	N (%)	84	6.83	1647	6.29	0.444 6	2.19	84	6.86	137	5.59	0.1283	5.24
	Atrial Fibrillation	N (%)	502	40.81	9930	37.91	0.040 1	5.95	498	40.65	1005	41.02	0.8309	0.75
	Cardiac arrhythmia (excluding. atrial fibrillation)	N (%)	451	36.67	10704	40.86	0.003 4	8.61	451	36.82	903	36.86	0.9807	0.08
	Cardio resynchroniza tion therapy device	N (%)	316	25.69	3079	11.75	< 0.000 1	36.31	314	25.63	655	26.73	0.4748	2.51
	Cerebrovascu lar disease	N (%)	130	10.57	3524	13.45	0.003 6	8.88	128	10.45	323	13.18	0.0172	8.48



			. –		Pre-r	natch_		_		F	'ost-ma	tch		
				itril/vals tan	ACEi	ARB		I	Sacubi art		ACE	i/ARB		
		Statistic	Ν	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
Medications	Antiarrhythmi c and digoxin	N (%)	724	58.86	18627	71.10	< 0.000 1	25.88	722	58.94	1504	61.39	0.1521	5.00
	Beta-blockers	N (%)	440	35.77	13498	51.52	< 0.000 1	32.17	440	35.92	899	36.69	0.6451	1.61
	Calcium channel blockers	N (%)	201	16.34	6962	26.58	< 0.000 1	25.12	201	16.41	413	16.86	0.7309	1.21
	Lipid lowering drugs	N (%)	651	52.93	15340	58.56	< 0.000 1	11.35	648	52.90	1316	53.71	0.6400	1.64
	Loop diuretics	N (%)	833	67.72	17748	67.75	0.985 6	0.05	831	67.84	1648	67.27	0.7274	1.22
	Nitroglycerin	N (%)	683	55.53	16280	62.14	< 0.000 1	13.47	680	55.51	1395	56.94	0.4103	2.88
	Potassium sparing diuretics	N (%)	396	32.20	6381	24.36	< 0.000 1	17.47	394	32.16	822	33.55	0.3993	2.95
Previous heart failure (HF) hospitalizations	0	N (%)	1028	83.58	23442	89.48	< 0.000 1	17.37	1024	83.59	2037	83.14	0.9698	1.21
	1	N (%)	154	12.52	2168	8.28	-	13.94	154	12.57	307	12.53		0.12
	2	N (%)	29	2.36	388	1.48		6.39	28	2.29	65	2.65		2.37
	3 ≥ 4	N (%) N (%)	9 10	0.73 0.81	106 93	0.40 0.36		4.35 6.01	9 10	0.73 0.82	19 22	0.78 0.90		0.47 0.89

Page 23 of 34



					Pre-r	natch_		_	_	F	ost-ma	tch		
				tril/vals tan	ACEi	ARB			Sacubit art		ACE	/ARB		
		Statistic	N	%	N	%	p value	SMD	N	%	N	%	p value	SMD
	Ischemic heart disease (including myocardial infarction)	N (%)	789	64.15	17084	65.21	0.442 6	2.23	785	64.08	1611	65.76	0.3154	3.51
	Peripheral Artery Disease	N (%)	70	5.69	1668	6.37	0.341 5	2.84	69	5.63	155	6.33	0.4072	2.93
	Peripheral vascular disease	N (%)	102	8.29	2391	<mark>9.1</mark> 3	0.319 8	2.96	102	8.33	215	8.78	0.6477	1.61
	Tachycardia	N (%)	274	22.28	5130	19.58	0.020 3	6.63	272	22.20	553	22.57	0.8014	0.88
	Valvular heart disease	N (%)	577	46.91	11457	43.73	0.028 2	6.38	574	46.86	1177	48.04	0.4982	2.37
eft ventricular		N (% non- missing)	1230	100	26197	100	< 0.000 1		1225	100	2450	100	0.5368	
		Mean	26.61		29.05				26.66		26.42			
		SD	8.31		8.21			29.55	8.30		8.59			2.76
		Min	9.80		0				9.80		5			
		Max	40	-	40				40	-	40	-		
		25% Percentile	20		22.50				20		20			
		Median	27.25		30				27.50	-	26			
		75% Percentile	34		35				34		35			

Page 24 of 34



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			_		Pre-	natch_		_		F	ost-ma	tch		_
				itril/vals tan	ACEi	ARB			Sacubit art		ACE	/ARB		
		Statistic	Ν	%	N	%	p value	SMD	N	%	Ν	%	p value	SMD
Previous all- cause hospitalizations	0	N (%)	791	64.31	16557	63.20	0.866 0	2.30	788	64.33	1505	61.43	0.3395	6.00
•	1	N (%)	255	20.73	5427	20.72		0.04	254	20.73	533	21.76		2.49
	2	N (%)	90	7.32	2011	7.68		1.36	89	7.27	192	7.84		2.16
	3	N (%)	41	3.33	940	3.59		1.39	41	3.35	82	3.35		0.00
	≥4	N (%)	53	4.31	1262	4.82		2.44	53	4.33	138	5.63		6.01
Previous HF specific outpatient visits	0	N (%)	320	26.02	12853	49.06	< 0.000 1	49.00	320	26.12	617	25.18	0.9498	2.15
	1	N (%)	245	19.92	5301	20.24		0.79	245	20.00	496	20.24		0.61
	2	N (%)	146	11.87	2754	10.51		4.31	146	11.92	306	12.49		1.75
	3	N (%)	122	9.92	1559	5.95		14.72	121	9.88	253	10.33		1.49
	≥ 4	N (%)	397	32.28	3730	14.24		43.70	393	32.08	778	31.76		0.70
Previous HF specific ER visits	0	N (%)	1193	96.99	25594	97.70	0.013 3	4.39	1188	96.98	2360	96.33	0.0756	3.63
	1	N (%)	29	2.36	542	2.07		1.96	29	2.37	81	3.31		5.66
	2	N (%)	5	0.41	48	0.18		4.12	5	0.41	9	0.37		0.66
	3	N (%)	1	0.08	7	0.03		2.35	5	0.41	9	0.37		0.66
	≥4	N (%)	2	0.16	6	0.02		4.59	5	0.41	9	0.37		0.66
SD: Standard devia	tion; SME	): Standardized mean dif	ference. H	IF: heart	failure, E	ER: eme	ergency	room						



# Primary Outcome Result(s)

The rate of HF hospitalization events of the Naive sacubitril/valsartan cohort was similar compared with the Naive ACEi/ARB cohort; but this difference was not statistically significant (RR: 1.003, 95% CI: 0.907-1.108, p = 0.959).

	of HF hospitalization rtan cohort and Naive			
		Adjusted r		
Model variable information	RR estimate	95 Lower	% CI Upper	p value
	Pre-match	Lower	opper	Praide
Treatment				
Sacubitril/valsartan	0.988	0.913	1.069	0.766
ACEi/ARB	1 (REF)			
	Post-match			
Treatment				
Sacubitril/valsartan	1.003	0.907	1.108	0.959
ACEi/ARB	1 (REF)			

95% CI: 95% confidence interval, RR: rate ratio, REF: reference



# Secondary Outcome Result(s)

The rate of HF hospitalization events of the Truly naive sacubitril/valsartan cohort was slightly higher compared with the Truly naive ACEi/ARB cohort; but this difference was not statistically significant (RR: 1.045; 95% CI: 0.890-1.227, p = 0.591)

		Adjusted m	odel	
		95%	6 CI	
Model variable information	RR estimate	Lower	Upper	p value
	Pre-match			
Treatment				
Sacubitril/valsartan	1.018	0.891	1.163	0.797
ACEI/ARB	1 (REF)			
	Post-match			
Treatment				
Sacubitril/valsartan	1.045	0.890	1.227	0.591
ACEI/ARB	1 (REF)			

Compare rates of HF hospitalization events: Truly naive

95% CI: 95% confidence interval, RR: rate ratio, REF: reference



The time to first HF hospitalization events of the Naive Sacubitril/valsartan cohort was slightly higher compared with the Naive ACEi/ARB cohort in both the models; but this difference was not statistically significant (Option 1: HR: 1.024; 95% CI: 0.935-1.121, p = 0.61; Option 2: HR: 1.016; 95% CI: 0.928-1.113, p = 0.73)

	Adjusted	model (O	ption 1)		Adjusted	model (O	ption 2)	
		95% CI				95% CI		
Model variable information	HR estimate	Lower	Upper	p value	HR estimate	Lower	Upper	p value
			Pre-r	natch				
Treatment								
Sacubitril/valsartan	1.059	0.985	1.138	0.12	1.037	0.962	1.118	0.34
ACEi/ARB	1 (REF)				1 (REF)			
			Post-	match				
Treatment								
Sacubitril/valsartan	1.024	0.935	1.121	0.61	1.016	0.928	1.113	0.73
ACEi/ARB	1 (REF)				1 (REF)			

95% CI: 95% confidence interval, HR: hazard ratio, REF: reference

Page 28 of 34



The rate of HF hospitalizations and ER visit events of the Naive sacubitril/valsartan cohort was statistically significantly lower compared with the Naive ACEi/ARB cohort (RR: 0.86962; 95% CI: 0.80726-0.93680, p = 0.00023)

		Adjusted n	nodel	
		95%	6 CI	
Model variable information	RR Estimate	Lower	Upper	p value
	Pre-match			
Treatment				
Sacubitril/valsartan	0.74601	0.70351	0.79107	< 0.0001
ACEi/ARB	1 (REF)			
	Post-match			
Treatment				
Sacubitril/valsartan	0.86962	0.80726	0.93680	0.00023
ACEi/ARB	1 (REF)			

Compare rates of HF hospitalizations and ER visit events: Naive sacubitril/valsartan cohort vs. Naive ACEi/ARB cohort

95% CI: 95% confidence interval, RR: rate ratio, REF: reference



Page 30 of 34

The time to first HF hospitalization or HF ER visit events of the Naive sacubitril/valsartan cohort was statistically significantly lower compared with the Naive ACEi/ARB cohort in both the models (Option 1: HR: 0.917, 95% CI: 0.858-0.981, p = 0.011; Option 2: HR: 0.912, 95% CI: 0.853-0.975, p = 0.006)

# Compare time to first HF hospitalization or HF ER visit events: Naive sacubitril/valsartan cohort vs. Naive ACEi/ARB cohort

	Adjusted model (Option 1)				Adjusted model (Option 2)			
		95% CI				95% C	I	
Model variable information	HR estimate	Lower	Upper	p value	HR estimate	Lower	Upper	p value
		P	re-mato	h	•			
Treatment								
Sacubitril/valsartan	0.863	0.817	0.911	< 0.001	0.905	0.856	0.957	< 0.001
ACEi/ARB	1 (REF)				1 (REF)			
		P	ost-mat	ch				
Treatment								
Sacubitril/valsartan	0.917	0.858	0.981	0.011	0.912	0.853	0.975	0.006
ACEi/ARB	1 (REF)				1 (REF)			

95% CI: 95% confidence interval, HR: hazard ratio, REF: reference



The rate of all-cause hospitalization events of the Naive sacubitril/valsartan cohort was statistically significantly lower compared with the Naive ACEi/ARB cohort (RR: 0.867, 95% CI: 0.807-0.932, p < 0.0001)

	Adjusted model							
	95% CI							
Model variable information	<b>RR</b> estimate	Lower	Upper	p value				
	Pre-match							
Treatment								
Sacubitril/valsartan	0.711	0.673	0.751	< 0.001				
ACEi/ARB	1 (REF)							
	Post-match							
Treatment								
Sacubitril/valsartan	0.867	0.807	0.932	< 0.001				
ACEi/ARB	1 (REF)							

# Compare rate of all-cause hospitalization events: Naive sacubitril/valsartan cohort vs. Naive ACEi/ARB cohort

95% CI: 95% confidence interval, RR: rate ratio, REF: reference



Page 32 of 34

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The time to first all-cause hospitalization events of the Naive sacubitril/valsartan cohort was statistically significantly lower compared with the Naive ACEi/ARB cohort in both the models (Option 1: HR: 0.850, 95% CI: 0.797-0.905, p < 0.001; Option 2: HR: 0.839, 95% CI: 0.788-0.894, p < 0.001)

	compare tim acubitril/val						Naive			
	Adju	Adjusted model (Option 1)				Adjusted model (Option 2)				
		95% CI			95% CI					
Model variable information	HR estimate	Lower	Upper	p value	HR estimate	Lower	Upper	p value		
	·		Pre-ma	tch						
Treatment										
Sacubitril/valsartan	0.738	0.700	0.777	< 0.001	0.839	0.796	0.885	< 0.001		
ACEi/ARB	1 (REF)				1 (REF)					
			Post-ma	atch						
Treatment										
Sacubitril/valsartan	0.850	0.797	0.905	< 0.001	0.839	0.788	0.894	< 0.001		
ACEi/ARB	1 (REF)				1 (REF)					

95% CI: 95% confidence interval, HR: hazard ratio, REF: reference



Page 33 of 34

The rate of CV hospitalization of the Naive sacubitril/valsartan cohort was slightly lower compared with the Naive ACEi/ARB cohort; but this difference was not statistically significant (RR: 0.939, 95% CI: 0.865-1.020, p = 0.13)

# Compare rates of cardiovascular hospitalization events: Naive sacubitril/valsartan cohort vs. Naive ACEi/ARB cohort

	Adjusted model 95% Cl							
Model variable information	RR estimate	Lower	Upper	p value				
	Pre-match							
Treatment								
Sacubitril/valsartan	0.837	0.785	0.892	< 0.001				
ACEi/ARB	1 (REF)							
	Post-match							
Treatment								
Sacubitril/valsartan	0.939	0.865	1.020	0.13				
ACEi/ARB	1 (REF)							

95% CI: 95% confidence interval, RR: rate ratio, REF: reference

#### **Safety Results**

Not applicable

# **Other Relevant Findings**

None



### Conclusion

The use of sacubitril/valsartan in Renin-angiotensin-aldosterone system inhibitors (RAASi)-naive patients with heart failure with reduced ejection fraction (HFrEF) resulted in lower rates of all-cause hospitalization and in a composite of Heart failure (HF) hospitalization and Emergency Rooms (ER) visit compared with ACEi/ARB treatment. The rates of HF and CV hospitalizations were similar between the two cohorts. These findings further strengthen the evidence base for sacubitril/valsartan in ACEi/ARB-naive patients. Initiating sacubitril/valsartan directly in RAASi-naive patients with HFrEF can reduce total hospitalizations, thereby reducing the clinical and economic burden of HFrEF in these patients.

# **Date of Clinical Study Report**

15 October 2021