U novartis

FRM-7000099, Version 4.0

Full Novartis CTRD

Sponsor

Novartis

Generic Drug Name

QAW039A

Therapeutic Area of Trial

Mild to moderate persistent asthma

Approved Indication

NA

Protocol Number

QAW039A2201

<u>Title</u>

A randomized, placebo- controlled, parallel group study to assess the efficacy, safety, and pharmacokinetics of QAW039 in steroid-free patients with mild to moderate persistent asthma.

Study Phase

Phase II

Study Start/End Dates

14 Dec 2010 to 26 Sep 2011

Study Design/Methodology

This was a randomized, placebo controlled, parallel group study in one or two parts in patients with mild to moderate persistent asthma. Initially, the study was a double-blind comparison of 500 mg QAW039 and placebo (Part 1). An interim analysis was performed while the study was ongoing, when 63 patients had completed this part of the study and could have been stopped if a statistically significant effect was found with the primary endpoint. Otherwise, Part 2 of the study started where patients continued to be recruited for the double blind comparison of QAW039 and placebo. In addition it was intended that an open-label arm of patients treated with inhaled fluticasone propionate 200μ g b.i.d. was also recruited in case the outcome of the interim analysis was negative. All patients participated in a screening period of up to 14 days, a 'weaning period' of a maximum of 28 days depending on the individual asthma treatment at screening, and a baseline visit. Patients who satisfied all the selection criteria were then randomized and entered a treatment period 28 days followed by a study completion visit within 7 days after the last dose of study drug.

U novartis

FRM-7000099, Version 4.0

Number of patients (planned and analyzed): It was planned to recruit up to 220 patients into the study (90 in the QAW039 group, 90 in the placebo group and 40 into the open-label fluticasone propionate group). A total of 170 patients were recruited (82 QAW039, 88 placebo), since the study was stopped prior to any patients being recruited into the fluticasone propionate group. All 170 patients were included in the safety analysis set, 166 (78 QAW039, 88 placebo) in the demographic data set, and 158 (74 QAW039, 84 placebo) into the efficacy (PD) data set.

Centers

Thirty centers in 7 countries: Germany (5 centers), Belgium (1 center), USA (20 centers), Romania (1 center), Bulgaria (1 center), Taiwan (1 center), South Korea (1 center)

Publication

Not Applicable.

Test Product (s), Dose(s), and Mode(s) of Administration

QAW039 50 mg capsules for oral administration.

Statistical Methods

Trough FEV1 measurements from assessments on days 7, 14, 21, 28 and 29 were analyzed using a mixed model for repeated measurements, fitting treatment, visit, and treatment by visit interaction as fixed factors, Day 1 pre-dose trough as a continuous covariate, and subject as a repeated factor. The effect of baseline by time interaction was also explored in the model. Mean differences between each treatment group and placebo were estimated at Day 29 along with 90% confidence intervals.

One-sided P-values for the comparison of treatment vs. placebo were presented. P values less than 0.05 (1-sided) were regarded as statistically significant. No adjustment to p-values was made for the interim analysis.

As a secondary assessment, mean differences between each treatment group and placebo were also estimated using the above model along with 90% confidence intervals at Days7, 14 and 21.

Analysis methods for secondary objectives

Secondary efficacy assessments include:

• FEV1 at each individual time point following dosing on Day 28. This was used to assess the FEV1 peak effect.

• Area under the FEV1 effect curve 0-24 hours following the morning dose. Measurements from pikometry may have been used in this analysis where spirometry assessments were not available.

- eNO
- ACQ
- Serum IgE

Post treatment values of Area under the FEV1 effect curve, eNO, ACQ and serum IgE were analyzed using a mixed model for repeated measurements, fitting treatment, visit, and treatment by visit interaction as fixed factors, baseline value as a covariate, and subject as a repeated factor. The effect of baseline by time interaction was also explored in the model. Mean differences between each treatment group and placebo following 7, 14, 21 and 28 days' treatment were estimated along with 90% confidence intervals.

FRM-7000099, Version 4.0

FEV1 values at each assessment time on Day 28/29 were analyzed using a mixed model for repeated measurements including treatment, time and treatment by time interaction as fixed factors, Day 1 pre-dose trough FEV1 as a continuous covariate, and subject as a repeated factor. The mean FEV1 difference between QAW039 and placebo was estimated with 90% confidence intervals at each time point.

Log transformations were used prior to analysis for eNO and any other parameters where the data indicated a lognormal distribution.

The frequency of salbutamol/Albuterol use over each of the visit intervals during the treatment period was compared between QAW039 and placebo using a log-linear Poisson model allowing for over dispersion.

The standard deviation of PEFR measurements collected over 28 days of treatment was derived for each patient and compared across treatment groups to determine if treatment with QAW039 resulted in improved asthma control. An analysis-of-variance model including treatment as a fixed factor was used to that effect. The time course of PEFR was plotted for morning and evening assessments, and analyzed using a repeated measures mixed effects model. The model included treatment and time as fixed factors, the treatment by time interaction terms, mean Baseline PEFR values as a covariate, and patient as a repeated effect.

Study Population: Inclusion/Exclusion Criteria and Demographics

Key inclusion criteria:

- male and post-menopausal female patients with mild to moderate asthma
- aged 18 to 65 years were eligible for this study as long as they
- weigh at least 45 kg and a body mass index (BMI) greater than 17 kg/m^2
- demonstrated FEV₁ reversibility at screening
- FEV₁ between 60 and 85% of the predicted normal value for the patient at baseline when LABA and steroid-weaned and to be symptomatic after weaning.

Key exclusion criteria:

- patients with severe persistent asthma, who had a history of life-threatening asthma or hospitalization for asthma exacerbation in the previous 6 months or were treated with systemic or high dose ICS, sustained release theophylline or had used a biologic agent for the treatment of asthma in the previous 6 months.
- history of any disease or illness other than asthma that required the use of systemic corticosteroids
- exposure to allergens that had the potential to worsen asthma symptoms,
- respiratory infections or serious underlying respiratory diseases.

FRM-7000099, Version 4.0

Patient disposition

| | QAW039 500 mg N=82 | Placebo N=88 | Total N=170 |
|-----------------------------------|-----------------------|-----------------|----------------|
| | n (%) | n (%) | n (%) |
| Patients | | | |
| Completed | 75 (91.5) | 82 (93.2) | 157 (92.4) |
| Discontinued | 7 (8.5) | 6 (6.8) | 13 (7.6) |
| Main cause of discontinuation | | | |
| Adverse event(s) | 1 (1.2) | 1 (1.1) | 2 (1.2) |
| Abnormal laboratory values | 1 (1.2) | 1 (1.1) | 2 (1.2) |
| Unsatisfactory therapeutic effect | 1 (1.2) | 3 (3.4) | 4 (2.4) |
| Patient withdrew consent | 1 (1.2) | 0 | 1 (0.6) |
| Administrative problems | 1 (1.2) | 1 (1.1) | 2 (1.2) |
| Protocol deviation | 2 (2.4) | 0 | 2 (1.2) |

Demographic Summary

| | | QAW039 500 mg N=78 | Placebo N=88 | Total N=166 |
|----------------|-----------------|-----------------------|-----------------|----------------|
| Age (years) | Mean (SD) | 41 (12.9) | 42 (13.5) | 42 (13.2) |
| | Range | 19 - 65 | 19 - 65 | 19 - 65 |
| Gender – n (%) | Male | 59 (76) | 59 (67) | 118 (71) |
| | Female | 19 (24) | 29 (33) | 48 929) |
| Race – n (%) | Caucasian | 70 (90) | 72 (82) | 142 (86) |
| | Black | 8 (10) | 10 (11) | 18 (11) |
| | Asian | 0 | 1 (1) | 1 (1) |
| | Native American | 0 | 1 (1) | 1 (1) |
| | Other | 0 | 4 (4.5) | 4 (2) |
| Weight (kg) | Mean (SD) | 84.9 (18.26) | 87.6 (19.74) | 86.4 (19.05) |
| | Range | 48.0 - 158.1 | 50.0 - 141.5 | 48.0 - 158.1 |
| Height (cm) | Mean (SD) | 173 (9.5) | 173 (10.2) | 173 (9.8) |
| | Range | 144 – 196 | 152 - 198 | 144 – 198 |
| BMI (kg/m²) | Mean (SD) | 28.5 (5.81) | 29.4 (5.79) | 29.0 (5.80) |
| | Range | 18.4 - 46.4 | 18.6 - 45.7 | 18.4 - 46.4 |

FRM-7000099, Version 4.0

Outcome Measures

Primary outcome measures(s)

Change in trough forced expiratory volume in 1 second (FEV1) compared to placebo

| | QAW039 mean | Placebo mean | QAW039 - placebo (90% Cl) | P value* | LoC: T-C > 0 | LoC T-C > 120 mL |
|----------------------|----------------|-----------------|---------------------------|-------------|--------------|------------------|
| Full PD analysis set | 2.560 | 2.550 | 0.009 (-0.063, 0.082) | 0.41 | 59.4% | 0.7% |

Secondary outcome measures(s)

Safety of a 28 day administration of QAW039 compared to placebo (reported on safety section of this document)

FEV1 at FEV1 peak effect after 28 days administration of QAW039 compared to placebo

| Schedule | | 39 500 mg | (90% CI) N Placebo | | Difference between La QAW039 500 mg-Placebo | One-sided P-value |
|------------------------------------|-------------------------------------|-------------------|--|-----------------------------------|---|----------------------|
| DAY28* | 63 2.763 | (2.709, 2.817) | 77 2.731 (2.68 | 2, 2.779) | 0.032 (-0.041, 0.105) | 0.233 |
| N is the Data were fixed eff | number of analyzed ects, base | line PEV1 treated | the analysis at measures ANCOVA as a covariate | model including and subject as | treatment, timepoint a a repeated factor. ere excluded from the a | wint as |

Area under the effect curve (0-24 hours) after 28 days administration of QAW039 compared to placebo

| | QAW039 | Placebo | QAW039 – placebo | P |
|----------------------|--------|---------|-----------------------|--------|
| | mean | mean | (90% Cl) | value* |
| Full PD analysis set | 2.668 | 2.602 | 0.066 (-0.008, 0.140) | 0.070 |

Change in morning and evening peak expiratory flow rate (PEFR) as recorded by a home spirometry device longitudinally over 28 days of treatment with QAW039

| electrony car | | | | | 1000 | with the | | | D165-000 | between Lameans (90% CI) | |
|---------------|----|-------|---------|--------|------|----------|---------|--------|------------|---------------------------|---------|
| Visit | | | 500 mg | | | | 0 | | | ng-Placebo | P-value |
| ******** | | | ****** | | | ***** | | | ********** | ••••••••••••••••••••••••• | |
| DAYI | | | (377.9, | | 61 | 382.8 | (371.1, | 394.4) | 6.9 1-9.7. | 23.4) | 0.494 |
| DAY2 | 57 | 395.0 | (382.9, | 407.1) | 66 | 398.8 | (387.5, | 410.0) | -3.8 (-20. | 3, 12.8) | 0.707 |
| DAY7 | 66 | 394.4 | (382.9, | 405.9) | 64 | 388.6 | (377.2, | 400.0) | 5.8 (-10.4 | , 22.0) | 0.556 |
| DAY14 | 60 | 393.3 | (381.4, | 405.2) | 64 | 389.8 | (378.4, | 401.2) | 3.5 (-13.0 | 20.0) | 0.727 |
| DAY21 | 56 | 394.1 | (381.9, | 406.3) | 61 | 391.5 | (379.9. | 403.1) | 2.6 (-14.3 | 19.5) | 0,799 |
| DAY28 | 54 | 378.6 | (366.3, | 391.0) | 62 | 382.8 | (371.3, | 394.4) | -4.2 (-21. | 1, 12,7) | 0.681 |

N is the number of subjects used in the analysis at each timepoint. Data were analyzed using a repeated measures ANCOVA model including treatment, day and treatment*day as fixed effects, baseline PEFR treated as a covariate and subject as a repeated factor.

FRM-7000099, Version 4.0

Scheduled timepoint: Evening

| Scheduled Visit | N QAW039 500 mg | n (90% CI) N Placebo | Difference between Lsmeans (90% CI) QAW039 500 mg-Placebo P | -value |
|--------------------|-------------------------|-------------------------|--|--------|
| | | | | |
| DAY1 | 53 395.8 (382.4, 409.2) | 65 390.8 (378.6, 403.0) | 4.9 (-13.1, 23.0) | 0.653 |
| DAY2 | 55 420.9 (407.7, 434.1) | 53 407.5 (394.4, 420.7) | 13.4 (-5.3, 32.0) | 0.238 |
| DAY7 | 47 402.9 (388.9, 416.9) | 63 394.0 (381.6, 406.3) | 8.9 (-9.7, 27.6) | 0.431 |
| DAY14 | 50 414.6 (400.8, 428.3) | 59 382.9 (370.2, 395.5) | 31.7 (13.1, 50.3) | 0.005 |
| DAY21 | 45 409.5 (395.2, 423.7) | 50 394.4 (380.9, 407.9) | 15.1 (-4.5, 34.7) | 0.206 |
| DAY28 | 43 391.0 (376.5, 405.5) | 61 379.9 (367.4, 392.4) | 11.1 (-8.0, 30.2) | 0.338 |

N is the number of subjects used in the analysis at each timepoint. Data were analyzed using a repeated measures ANCOVA model including treatment, day and treatment*day as fixed effects, baseline PEFR treated as a covariate and subject as a repeated factor.

Rescue medication use over 28 days of treatment with QAW039

| Visit | | 9 500 mg | | CI) | | 0% CI) 00 mg/Placebo | P-value |
|-------------------------------|-------------------|--|--------------------|--|----------|--|----------------------------------|
| 1-7 7-14 14-21 21-28 | 71 2.111 70 1.929 | (1.731, 2.441) (1.795, 2.483) (1.625, 2.291) (1.468, 2.080) | B1 2.04 79 2.01 | 17 (1.621, 2.266) 17 (1.754, 2.390) 18 (1.725, 2.363) 13 (1.629, 2.224) | 1.031 (0 | .844, 1.363) .824, 1.290) .757, 1.207) .727, 1.160) | 0.631 0.823 0.750 0.550 |

N is the number of subjects used in the analysis at each timepoint. Data were analyzed using a log-linear poisson model allowing for over dispersion with fixed effects for treatment, visit treatment*visit.

Effect on asthma control using weekly Asthma Control Questionnaire (ACQ) scores during the 28 days of treatment with QAW039

| N QAW039 500 mg | (90% CI) | Difference between Lsmeans (9 | 0% CI) One-sided |
|----------------------|----------------------|-------------------------------|------------------|
| | N Placebo | QAW039 500 mg-Placebo | P-value |
| 70 1.74 (1.62, 1.86) | 79 1.84 (1.72, 1.95) | -0.09 (-0.26, 0.07) | 0.828 |

N is the number of subjects used in the analysis at each timepoint. Data were analysed using an ANCOVA model including treatment, BMI and treatment*BMI as fixed effects and baseline treated as a covariate.

Pharmacodynamic effect as measured by eNO after 28 days administration of QAW039

| Scheduled Visit | | QAND39 | | | ometric | | Placebo | | | | | etric lameans :Placebo | (90% CI) | One-sided P-value |
|--------------------|----|--------|-------|------|---------|--------|---------|----------|---------|-------|---------|---------------------------|----------|----------------------|
| | | | | | | ****** | | | | | ****** | | | |
| DAY7 | 61 | 31.471 | (29.5 | 39, | 33.528) | 5.8 | 35.059 | (32.864, | 37,400) | 0.898 | (0.820, | 0.983) | | 0.025 |
| DAY14 | 61 | 30.090 | (27.9 | 17, | 32,4321 | 58 | 33.768 | (31.275. | 36.459) | 0.891 | (0.800, | 0.992) | | 0.039 |
| DAY21 | 60 | 29.913 | (27.1 | 744. | 32.253) | 55 | 35.016 | (32.393, | 37.852) | 0.854 | (0.767, | 0.952) | | 0.009 |
| DAY28 | 63 | 31.521 | (29.0 | 015. | 34.244) | 58 | 32.805 | (30.104. | 35.749) | 0.961 | (0.853. | 1.0833 | | 0.290 |
| DAY29 | 63 | 31.685 | (28.1 | 40, | 34.691) | 54 | 34.331 | (31.220, | 37.752) | 0,923 | (0.009, | 1.052) | | 0.157 |

N is the number of subjects used in the analysis at each timepoint. Data were analyzed using a repeated measures ANCOVA model including treatment, day and treatment*day as fixed effects, baseline exhaled NO treated as a covariate and subject as a repeated factor.

FRM-7000099, Version 4.0

Pharmacodynamic effect as measured by total serum IgE levels during the 28 days administration of QAW039.

| | | QAN039 | | | | Placeb | | | | | etric lameans | (90% CI) | One-sided P-value |
|-------|----|--------|--------|--------|----|--------|---------|--------|-------|---------|---------------|----------|----------------------|
| DAY7 | 66 | 401.4 | (392.0 | 411.2) | 80 | 406.1 | (397.3, | 415.0) | 0.989 | (0.957, | 1.021) | | 0.279 |
| DAY14 | 67 | 399.8 | (387.3 | 412.8) | 78 | 401.1 | (389.4, | 413.1) | 0.997 | (0.954, | 1.041) | | 0.453 |
| DAY21 | 66 | 402.0 | (388.5 | 416.0) | 76 | 402.4 | (389.8, | 415.4) | 0.999 | (0.953, | 1.047) | | 0.488 |
| DAY28 | 65 | 403.7 | (370.7 | 439.5) | 77 | 415.1 | (383.8, | 448.8) | 0.973 | (0.866, | 1.092) | | 0.345 |

N is the number of subjects used in the analysis at each timepoint. Data were analyzed using a repeated measures ANCOVA model including treatment, day, treatment*day and baseline IgE*day as fixed effects, baseline IgE treated as a covariate and subject as a repeated factor.

Lack of clinical efficacy as measured by deterioration of asthma control during 28 days administration of QAW039 compared to placebo

| Visit | Question number | Question | | Question score | Change from baseline Question score |
|-------|--------------------|-------------------------------------|---|------------------------------------|--|
| DAY28 | 2 | Asthma symptoms when you woke up | n SD minimum median maximum | 70 1.9 0.94 0 2.0 4 | 70 -0.6 1.04 -3 -1.0 2 |
| | 3 | Limited were you in your activities | n SD minimum median maximum | 70 1.5 1.07 0 1.0 4 | 70 -0.6 1.03 -3 -0.5 2 |
| | 4 | Shortness of breath | n SD minimum median maximum | 70 1.9 0.94 0 2.0 4 | 70 -0.8 1.14 -4 -1.0 2 |
| | 5 | Wheele | n SD minimum median | 70 1.6 1.07 0 2.0 | 70 -0.6 1.09 -4 -1.0 |

FRM-7000099, Version 4.0

Pharmacokinetics of multiple doses of QAW039

| ofile | Č | Tlast | AUClast | AUC0_24 | | |
|-------|--------------|--------------|---------------|---------------|---------------|---------------|
| iy | Statistic | (h) | (h*ng/mL) | (h•ng/mL) | Racc_AUC0_24 | Racc_Cmax |
| | | | | | | |
| 1 | n | 73 | 73 | 73 | | |
| | Mean (SD) | 22.9 (3.64) | 12000 (5510) | 12000 (5500) | | |
| | CV% Mean | 15.9 | 46.0 | 45.7 | | |
| | Geo-mean | 22.4 | 10900 | 10900 | | |
| | CV% geo-mean | 23.6 | 46.7 | 45.9 | | |
| | Median | 24.0 | 11100 | 11100 | | |
| | [Min; Max] | [6.22; 24.3] | [3850; 28000] | [4130; 28000] | | |
| 28 | n | 71 | 71 | 70 | 66 | 67 |
| | Mean (SD) | 23.5 (2.45) | 14300 (6090) | 14300 (6090) | 1.23 (0.271) | 1.13 (0.719) |
| | CV% Mean | 10.4 | 42.6 | 42.7 | 22.0 | 63.6 |
| | Geo-mean | 23.3 | 13100 | 13100 | 1.20 | 0.956 |
| | CV∜ geo-mean | 14.2 | 43.2 | 43.0 | 21.2 | 66.3 |
| | Median | 24.0 | 12300 | 12300 | 1.16 | 0.993 |
| | [Min; Max] | [11.9; 25.1] | [4440; 31400] | [4440; 31400] | [0.732; 2.03] | (0.152; 4.99) |

Safety Results

Incidence of AEs by Primary system organ class (Safety analysis set)

| | QAW039 500 mg | Placebo N=88 n (%) |
|--|---------------|--------------------------|
| | N=82 | |
| | n (%) | |
| Patients with at least one AE | 29 (35) | 25 (28) |
| Primary system organ class (SOC) | | |
| Nervous system disorders | 12 (15) | 12 (14) |
| Gastrointestinal disorders | 11 (13) | 2 (2) |
| General disorders & administration site conditions | 3 (3) | 3 (3) |
| Investigations | 4 (5) | 3 (3) |
| Infections & infestations | 2 (2) | 3 (3) |
| Respiratory, thoracic & mediastinal disorders | 2 (2) | 3 (3) |
| Injury poisoning and procedural complications | 3 (4) | 1 (1) |
| Musculoskeletal & connective tissue disorders | 2 (2) | 2 (2) |
| Cardiac disorders | 2 (2) | 1 (1) |
| Ear and labyrinth disorders | 0 | 1 (1) |
| Metabolism and nutrition disorders | 0 | 1 (1) |
| Psychiatric disorders | 1 (1) | 0 |
| Skin & subcutaneous tissue disorders | 0 | 1 (1) |

AEs by SOC are presented in descending order of frequency in the total group.

Date of Clinical Trial Report

9 April 2013.

Date Inclusion on Novartis Clinical Trial Results Database

11 Nov 2013

Date of Latest Update