Clinical Trial Results Database

#### Sponsor

Novartis

#### Generic Drug Name

Rivastigmine

#### Therapeutic Area of Trial

Mild to moderate dementia of the Alzheimer's type

#### **Approved Indication**

Treatment of patients with mild to moderately (severe) dementia of the Alzheimer's type.

#### **Protocol Number**

CENA713D2340

#### Title

A 48-week, multicenter, randomized, double-blind, parallel group evaluation of the comparative efficacy, safety, and tolerability of Exelon® 10 and 15 cm2 patch in patients with Alzheimer's disease showing cognitive decline during an initial open-label phase

#### **Phase of Development**

Phase IIIb

#### **Study Start/End Dates**

25-Jun-2007 (first patient first visit) to 04-May-2011 (last patient last visit); 21-May-2010 (last patient DB dose administration date).

#### Study Design/Methodology

This was a prospective, multicenter, randomized, DB, parallel-group study of two doses of Exelon patch in patients with Alzheimer's disease (AD). Eligible patients were initially treated with Exelon 10 cm2 patches (18 mg drug load with a nominal delivery rate of 9.5 mg/24 hours) for up to 48 weeks during the initial open-label (IOL) phase. Patients who demonstrated functional and cognitive decline during the IOL phase were randomized to receive Exelon 10 cm2 or 15 cm2 patch (27 mg drug load with a nominal delivery rate of 13.3 mg/24 hours) during a 48 week DB treatment phase.

Those who did not meet the decline criteria by Week 48 of the IOL phase were offered continued treatment with Exelon 10 cm2 for up to 48 weeks in an extended open-label (EOL) treatment phase. Based on discussions with a regulatory authority, Novartis extended the scope of this study to become a registration trial for the Exelon 15cm2 patch dose. As a result, the study was amended to elevate the assessment of overall function based on the ADCS-Instrumental ADL subscale from a secondary to a co-primary endpoint with the assessment of cognitive abilities based on the ADAS-cog subscale.

#### Centers

147 centers from 7 countries participated: Canada (11 centers), France (8 centers), Germany (24 centers), Italy (34 centers), Spain (3 centers), Switzerland (3 centers), and the United States (64 centers).

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#### Publication

None

#### **Outcome Measures**

#### **Primary Outcome Measures**

- Change from Baseline in Alzheimer's Disease Assessment Scale-Cognitive (ADAS-Cog) Subscale at Week 48 of Double Blind Period.
- Change in Alzheimer's Disease Cooperative Study-Instrumental Activities of Daily Living (ADCS-IADL) Subscale Score from Baseline to Week 48 of Double Blind Period.

#### Secondary Outcome Measures

- Time to Functional Decline as Measured by Alzheimer's Disease Cooperative Study-Instrumental Activities of Daily Living (ADCS-IADL) Subscale During the Double Blind Period
- Change in Attention and Executive Function as Assessed by the Trail Making Test (Part A) at Week 48 of the Double Blind Period
- Change in Attention and Executive Function as Assessed by the Trail Making Test (Part B) at Week 48 of Double Blind Period
- Change From Baseline in Neuropsychiatric Inventory (NPI-10) Score at Week 48 of Double Blind Period
- Number of Patients With Adverse Events, Serious Adverse Events and Discontinuations Due to Adverse Events

#### Test Product, Dose, and Mode of Administration

Rivastigmine 5 cm<sup>2</sup> transdermal patch once a day during the first 4 weeks of open label treatment followed by rivastigmine 10 cm<sup>2</sup> transdermal patch once a day from week 4 to week 24, 36 or 48.

Rivastigmine transdermal patch 10 cm<sup>2</sup> and placebo to rivastigmine 15 cm<sup>2</sup> once daily for 48 weeks during the double blind period.

Rivastigmine transdermal patch 15 cm<sup>2</sup> and placebo to rivastigmine 10 cm<sup>2</sup> once daily for 48 weeks during double blind period.

Rivastigmine 10 cm<sup>2</sup> transdermal patch once a day during 48 weeks open label treatment running in parallel to the double blind period.

#### **Statistical Methods**

Data were summarized with respect to demographic and baseline characteristics, and efficacy and safety observations and assessments. For continuous variables, summary statistics included n (number of observations), mean, standard deviation, median, minimum and maximum values, as well as frequencies and percentages for categorical variables were presented.

For both co-primary outcome variables (ADAS-cog and ADCS-Instrumental ADL), the statistical analysis was based on the change from DB-baseline to DB-Week 48 of the total score. The treatment groups were compared using least square means derived by an Analysis of Covariance (ANCOVA) model.

As supportive analyses, the primary ANCOVA analyses and summary statistics were also performed for the observed cases (OC) based on ITT-DB population, and with LOCF and with OC based on PP-DB population. The comparison of treatment groups was also performed using the non-parametric van Elteren test stratified by country to assess the robustness of the results of the primary analysis based on ITT-DB with LOCF. This is also referred to as rank ANCOVA. Sensitivity analyses were performed on the ITT-DB population with OC for both co-primary variables based on a mixed-effects repeated measures model (MMRM) examining the treatment group differences as a function of time. The model included fixed effects for treatment group, country, baseline score, visit and treatment group-by-visit interaction and random effect for subject nested within treatment group. Additional sensitivity analyses were performed to evaluate the possibility that missing primary efficacy measure data may not be missing at random utilizing multiple imputations under MAR and different MNAR scenarios using penalty scores.

#### Study Population: Inclusion/Exclusion Criteria and Demographics

#### **Inclusion Criteria**

- Male or female patients between 50 and 85 years of age with a diagnosis of probable Alzheimer's Disease,
- Baseline Mini-Mental State Examination (MMSE) score 10-24 inclusive,
- A primary caregiver willing to accept responsibility for supervising treatment, assessing the patient's condition throughout the study, and for providing input into efficacy assessments.
- For double blind only: Meet the decline criteria of functional decline (as assessed by the investigator) and cognitive decline (assessed by a 2 point reduction in Mini-Mental State Examination score between visits or a 3 point reduction from baseline) at weeks 24 or 36 or 48 during the IOL.

#### **Exclusion Criteria:**

- Presence of an advanced, severe, progressive, or unstable disease of any type that could interfere with efficacy and safety assessments or put the patient at particular risk,
- Any medical or neurological condition other than Alzheimers Disease that could explain the patient's dementia,
- A diagnosis of probable or possible vascular dementia,
- A current diagnosis of unsuccessfully-treated depression, or any other mental disorder

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that may interfere with the evaluation of the patient's response to study medication,

- A history or current diagnosis of cerebrovascular disease (e.g. stroke),
- A current diagnosis of severe or unstable cardiovascular disease (e.g. unstable coronary artery disease).

Other protocol-defined inclusion/exclusion criteria may apply.

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#### **Participant Flow**

Pre-Assignment Details

1,584 participants were enrolled, 1582 received study drug during the initial open label period; of these, 567 were qualified to enter a double blind randomized period.

#### **Initial Open Label**

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#### Table 14.1-1.1a (Page 2 of 2) Patient disposition for the initial open label phase (Enrolled population)

sposition/Reason	Total N=1584 n (%)
Unsatisfactory therapeutic effect	58 ( 3.7)
Subject's condition no longer	0 ( 0.0)
requires study drug	
Subject withdrew consent	88 ( 5.6)
Lost to follow-up	22 ( 1.4)
Administrative problems	7 ( 0.4)
Death	22 ( 1.4)
Protocol deviation	28 ( 1.8)

#### **Double blind**

CENA713D2340

#### Table 14.1-1.1b (Page 1 of 1) Patient disposition for the double blind phase, by treatment group (Randomised population)

	Exelon 15 cm2 N=280	Exelon 10 cm2 N=287	Total N=567
Disposition / Reason	n (%)	n (%)	n (%)
Randomized	280 (100.0)	287 (100.0)	567 (100.0)
Exposed to study drug DB phase	280 (100.0)	286 ( 99.7)	566 ( 99.8)
Completed*	207 (73.9)	203 ( 70.7)	410 ( 72.3)
Discontinued**	73 ( 26.1)	83 ( 28.9)	156 ( 27.5)
Reason for discontinuation			
Lost to follow-up	6 ( 2.1)	4 ( 1.4)	10 ( 1.8)
Adverse Event(s)	28 ( 10.0)	33 ( 11.5)	61 ( 10.8)
Subject withdrew consent	17 ( 6.1)	20 ( 7.0)	37 ( 6.5)
Subject's condition no longer requires study drug	1 ( 0.4)	0 ( 0.0)	1 ( 0.2)
Protocol deviation	3 ( 1.1)	5 ( 1.7)	8 ( 1.4)
Death	3 ( 1.1)	5 ( 1.7)	8 ( 1.4)
Unsatisfactory therapeutic effect	13 ( 4.6)	13 ( 4.5)	26 ( 4.6)
Administrative problems	2 ( 0.7)	3 ( 1.0)	5 ( 0.9)

#### **Extended Open Label**

CENA713D2340

Table 14.1-1.1c (Page 1 of 1) Patient disposition for the extended open label phase (Safety - EOL Population)

Disposition / Reason	Total N=457 n (%)	
Subject entering in extended open label phase	459	
Completed*	395	(86.4)
Discontinued**	62	(13.6)
Reason for discontinuation		
Administrative problems	4	(0.9)
Adverse Event(s)	18	(3.9)
Lost to follow-up	8	( 1.8)
Subject withdrew consent	14	( 3.1)
Protocol deviation	5	(1.1)
Unsatisfactory therapeutic effect	6	(1.3)
Death	7	(1.5)

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Baseline Ch	naracteristics		
		Total	
Age	Mean (SD)	74.93 (± 7.131)	
Gender	Male	592	
	Female	992	

#### **Outcome Measure Results**

**Primary Outcome Measures** 

# Change From Baseline in Alzheimer's Disease Assessment Scale-Cognitive (ADAS-Cog) Subscale at Week 48 of Double Blind Period

The Alzheimer's Disease Assessment Scale-Cognitive (ADAS-cog) subscale comprises 11 items summed to a total score ranging from 0 to 70, with lower scores indicating less severe impairment. A negative change indicates an improvement from baseline.

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p-value
L) 0.091
2) 0.027
5) 0.227
5

Change in Alzheimer's Disease Cooperative Study-Instrumental Activities of Daily Living (ADCS-IADL) Subscale Score From Baseline to Week 48 of Double Blind Period

The Alzheimer's Disease Cooperative Study-Instrumental Activities of Daily Living (ADCS-IADL) is a 16 item subscale of the caregiver-based ADCS-IADL scale, developed for the use in dementia studies. The ADCS-IADL total score ranges from 0 to 56, with higher scores indicating less severe impairment. A positive change indicates an improvement from baseline.

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	rom base	line	in Alsh	eimer':	s Disea the	double	-blin	d pha	se, by Populat	treatme	ntal Act ent grou	ıp					
				Exelon N=2	15 cm2 265					Exelon N=:	10 cm2 271			E	Exelon 1 Exelon		
Pop./ Visit		n	Mean	SD	Median	(Min,	Max)	n	Mean	SD	Median	(Min, 1	(ax)	DLSM	95 <del>8</del> C	I	p-value
ITT-DB LOCF	Baseline	265	27.5	13.31	28.0	( 0,	55)	271	25.8	13.33	25.0	( 1,	52)				
DB-Wk 8	Value Change	265 265	27.3 -0.2	13.41 6.28	27.0 0.0	( 0, (-25,	56) 18)	271 271	25.0 -0.8	13.13 6.27	24.0 0.0	( 1, (-35,	52) 16)	0.8	( -0.2,	1.9)	0.114
DB-Wk 12	Value Change	265 265	27.5 0.1	13.79 6.65	27.0 0.0	( 0, (-30,	56) 18)	271 271	25.4 -0.4	13.25 7.06	25.0 0.0				( -0.5,	1.8)	0.252
DB-Wk 16	Value Change														( 0.2,	2.5)	0.025*
DB-Wk 24	Value Change													1.7	( 0.5,	2.9)	0.005*
DB-Wk 32	Value Change													2.1	( 0.9,	3.4)	<0.001*
DB-Wk 48	Value Change													2.2	( 0.8,	3.6)	0.002*
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Stratum 1: TRTN = Exelon 10 cm2

Summary Statistics for Time Variable TFDECL

Quartile Estimates

	Point	95% Cor	fidence Int	erval	
Percent	Estimate	Transform	[Lower	Upper)	
75	218.000	LINEAR	169.000	226.000	
50	90.000	LINEAR	85.000	113.000	
25	57.000	LINEAR	57.000	61.000	
	Me	an Standard	l Error		
	146.3	47	6.844		
		ere underestin	ated becaus	e the largest	observati

NOTE: The mean survival time and its standard error were underestimated because the largest observation was censored and the estimation was restricted to the largest event time.

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	aseline in d s of Daily L		hase for Als ADL) total s	eimer's Disease Cooperative Study ores, by treatment group
	The	LIFETEST Proc	edure	
	Stratum 2	: TRTN = Exel	on 15 cm2	
Sum	mary Statist	ics for Time	Variable TFD	CL
	Qu	artile Estima	tes	
	Point		nfidence Inte	
Percent	Estimate	Transform	[Lower	Upper)
75	337.000	LINEAR	186.000	344.000
50	91.000	LINEAR	85.000	113.000
25	57.000	LINEAR	57.000	58.000
	Ме	an Standar	d Error	
	165.2	281	B.220	

# Change in Attention and Executive Function as Assessed by the Trail Making Test (Part A) at Week 48 of the Double Blind Period

Change from baseline to week 48 in total time to perform Trail Making Test (TMT) part A. This test provides information on visual search, scanning, speed of processing, mental flexibility, and executive functions. The TMT part A requires an individual to draw lines sequentially connecting 25 encircled numbers distributed on a sheet of paper. The score represents the amount of time required to complete the task. Total values for TMT part A range between 0 and 300 seconds. A negative change indicates an improvement from baseline.

C	hange fro	m bas	eline i	in Trai	l Making	y Test	(TMT)	Part	2 (Page A and Populat	B in th		le-blin	id pha	se, by	treatme	nt grou	ιp
	king test	: F	art A		15 cm2 265					Exelon N=:	10 cm2 271			1	Exelon 1 Exelon		
Pop./ Visit		n	Mean	SD	Median	(Min,	Max)	n	Mean	SD	Median	(Min,	Max)	DLSM	95% C	I p	-valu
ITT-DB LOCF	Baseline	254	191.3	95.65	181.5	( 30,	300)	258	199.4	95.86	209.0	( 28,	300)				
DB-Wk 24	Value Change	254 254		96.92 57.80	199.5 0.0									-7.8	(-17.3,	1.7)	0.10
DB-Wk 48	Value Change								217.6					-3.8	(-14.3,	6.6)	0.47

# Change in Attention and Executive Function as Assessed by the Trail Making Test (Part B) at Week 48 of Double Blind Period

Change from baseline to week 48 in total time to perform Trail Making Test (TMT) part B. This test provides information on visual search, scanning, speed of processing, mental flexibility, and executive functions. TMT has two parts: Part A requires an individual to draw lines sequentially connecting 25 encircled numbers distributed on a sheet of paper. Task requirements are similar for TMT-Part B except the person must alternate between numbers and letters. Total values for TMT part B range between 0 and 420 seconds. A negative change from baseline indicates an improvement in condition.

#### Clinical Trial Results Database

C Trail ma	hange from			n Trai	l Making	y Test	(TMT)										
Trail ma									t A and Populat		he doubl	le-blin	d pha:	se, by	treatm	aent gi	roup
	aing best	: 2			15 cm2						10 cm2			1	Exelon Exelo	15 cm2	
Pop./																	
Visit		n	Mean	SD	Median	(Min,	Max)	n	Mean	SD	Median	(Min, 1	Max)	DLSM	95%	CI	p-value
ITT-DB LOCF	Baseline	235	372.2	84.83	420.0	( 66,	420)	236	380.8	86.44	420.0	( 56,	420)				
	Value	235	377.7	85.82	420.0	(78,	, 420)	236	381.6	84.10	420.0	( 68,	420)				
DB-Wk 24	Change	235	5.5	66.58	0.0	(-235,	269)	236	0.9	71.71	0.0	(-240,	364)	1.6	( -9.9	9, 13.1	1) 0.784
DB-Wk 24					400.0	/ 50	4201	225									
DB-Wk 24 DB-Wk 48	-	235	381.4	83.08	420.0	( / 5 /	, 920)	230	300.0	81.16	420.0	( 56,	420)				

# Change From Baseline in Neuropsychiatric Inventory (NPI) Score at Week 48 of Double Blind Period

Change from baseline to week 48 as assessed by the Neuropsychiatric Inventory (NPI) total score. A negative change indicates an improvement from baseline.

							(ITT - DB	Populat:	ion)			-				group
	Total sc	ore (		Exelon	everity) 15 cm2 265			1		10 cm2 271			1	Exelon Exel	15 cm on 10	
Pop./ Visit		n	Mean	SD	Median	(Min, )	(ax) n	Mean	SD	Median	(Min,	Man)	DLSM	95 <del>8</del>	CI	p-value
VISIC																
ITT-DB LOCF	Baseline	265	12.4	12.50	9.0	( 0,	69) 271	14.4	13.69	11.0	( 0,	69)				
ITT-DB		265		12.50			69) 271 63) 271									

#### **Safety Results**

Number of Patients With Adverse Events, Serious Adverse Events and Discontinuations Due to Adverse Events

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rimary system organ class	Decliners N = 567 n (%)	Non-decliners N = 459 n (%)	Discontinued N = 556 n (%)	Total N = 1582 n (%)
ny system organ class	366 (64.6)	314 (68.4)	455 (81.8)	1135
Blood and lymphatic system disorders	2 (0.4)	5 (1.1)	10 (1.8)	17 (1.1)
Cardiac disorders	13 (2.3)	21 (4.6)	39 (7.0)	73 (4.6)
Ear and labyrinth disorders	10 (1.8)	17 (3.7)	13 (2.3)	40 (2.5)
Endocrine disorders	1 (0.2)	0 (0.0)	2 (0.4)	3 (0.2)
Eye disorders	13 (2.3)	7 (1.5)	4 (0.7)	24 (1.5)
Gastrointestinal disorders	77 (13.6)	79 (17.2)	137 (24.6)	293 (18.5)
General disorders and administration site conditions	116 (20.5)	104 (22.7)	221 (39.7)	441 (27.9)
Hepatobiliary disorders	0 (0.0)	4 (0.9)	4 (0.7)	8 (0.5)
Immune system disorders	1 (0.2)	1 (0.2)	1 (0.2)	3 (0.2)
Infections and infestations	77 (13.6)	76 (16.6)	70 (12.6)	223 (14.1)
Injury, poisoning and procedural complications	42 (7.4)	38 (8.3)	54 (9.7)	134 (8.5)
Investigations	36 (6.3)	35 (7.6)	27 (4.9)	98 (6.2)
Metabolism and nutrition disorders	33 (5.8)	28 (6.1)	40 (7.2)	101 (6.4)
Musculoskeletal and connective tissue disorders	43 (7.6)	39 (8.5)	37 (6.7)	119 (7.5)
Neoplasms benign, malignant and unspecified (incl cysts and polyps)	5 (0.9)	15 (3.3)	20 (3.6)	40 (2.5)
Nervous system disorders	82 (14.5)	65 (14.2)	106 (19.1)	253 (16.0)
Psychiatric disorders	103 (18.2)	81 (17.6)	125 (22.5)	309 (19.5)
Renal and urinary disorders	21 (3.7)	18 (3.9)	23 (4.1)	62 (3.9)
Reproductive system and breast disorders	8 (1.4)	5 (1.1)	7 (1.3)	20 (1.3)
Respiratory, thoracic and mediastinal disorders	23 (4.1)	24 (5.2)	32 (5.8)	79 (5.0)
Skin and subcutaneous tissue disorders	31 (5.5)	20 (4.4)	25 (4.5)	76 (4.8)
Vascular disorders	36 (6.3)	31 (6.8)	37 (6.7)	104 (6.6)

A patient with multiple AEs within a primary SOC is counted only once.

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	Exelon 15 cm <sup>2</sup> N = 280	Exelon 10 cm <sup>2</sup> N = 283	Total N = 563
Primary system organ class	n (%)	n (%)	n (%)
Any primary system organ class	210 (75.0)	193 (68.2)	403 (71.6)
Blood and lymphatic system disorders	4 (1.4)	7 (2.5)	11 (2.0)
Cardiac disorders	12 (4.3)	19 (6.7)	31 (5.5)
Ear and labyrinth disorders	7 (2.5)	3 (1.1)	10 (1.8)
Endocrine disorders	3 (1.1)	1 (0.4)	4 (0.7)
Eye disorders	3 (1.1)	4 (1.4)	7 (1.2)
Gastrointestinal disorders	82 (29.3)	54 (19.1)	136 (24.2)
General disorders and administration site conditions	65 (23.2)	60 (21.2)	125 (22.2)
Hepatobiliary disorders	1 (0.4)	0 (0.0)	1 (0.2)
Immune system disorders	0 (0.0)	4 (1.4)	4 (0.7)
Infections and infestations	49 (17.5)	52 (18.4)	101 (17.9)
Injury, poisoning and procedural complications	34 (12.1)	30 (10.6)	64 (11.4)
Investigations	24 (8.6)	14 (4.9)	38 (6.7)
Metabolism and nutrition disorders	31 (11.1)	25 (8.8)	56 (9.9)
Musculoskeletal and connective tissue disorders	20 (7.1)	38 (13.4)	58 (10.3)
Neoplasms benign, malignant and unspecified (incl cysts and polyps)	5 (1.8)	8 (2.8)	13 (2.3)
Nervous system disorders	60 (21.4)	52 (18.4)	112 (19.9)
Psychiatric disorders	71 (25.4)	61 (21.6)	132 (23.4)
Renal and urinary disorders	14 (5.0)	17 (6.0)	31 (5.5)
Reproductive system and breast disorders	0 (0.0)	1 (0.4)	1 (0.2)
Respiratory, thoracic and mediastinal disorders	13 (4.6)	12 (4.2)	25 (4.4)
Skin and subcutaneous tissue disorders	6 (2.1)	17 (6.0)	23 (4.1)
Social circumstances	0 (0.0)	1 (0.4)	1 (0.2)
Vascular disorders	15 (5.4)	20 (7.1)	35 (6.2)

#### Table 12-12 Number of patients who died, had serious AEs or discontinued due to AEs in the double-blind phase, by treatment group (Safety-DB population)

	Exelon 15 cm <sup>2</sup> N = 280	Exelon 10 cm <sup>2</sup> N = 283	Total N = 563
vent	n (%)	n (%)	n (%)
Death	3 (1.1)	5 (1.8)	8 (1.4)
Serious adverse events (SAEs) (a)	44 (15.7)	44 (15.5)	88 (15.6)
Discontinued due to adverse events (AEs) (a)	27 (9.6)	36 (12.7)	63 (11.2)
Discontinued due to SAE (s) (a)	12 (4.3)	18 (6.4)	30 (5.3)

#### Extended open-label phase

During the EOL phase, Gastrointestinal disorders were reported in 52 patients (11.4%), and the most common was nausea (12 patients: 2.6%). General disorders and administration site conditions were reported in approximately 10.5% of patients. With the exception of application site erythema (2.2%), all specific preferred terms related to application site reactions were reported in less than 1% of patients (PT-Table 14.3.1-1.1c).

#### **Other Relevant Findings**

No other important or notable findings were reported in this study.

#### **Date of Clinical Trial Report**

23-Sep-2011

Clinical Trial Results Database

#### Date Posted to the Novartis Clinical Trial Results Database

04-May-2012

NOTE: This field will be completed by the person posting the template.

#### **Date of Latest Update**

Definition: Date of most recent update (ie, template was modified to include publication information).

June13, 2012